# Validation of QFracture compared with FRAX Analysis prepared for NICE 2011

# **Authors:**

Julia Hippisley-Cox & Carol Coupland

# **Email:**

Julia.hippisley-cox@nottingham.ac.uk

# **Revision History**

| Revision date | Document<br>Version | Summary of Changes   |
|---------------|---------------------|----------------------|
| 17.11.2011    | 1.2                 | First issue          |
| 18.11.2011    | 1.3                 | Version sent to NICE |
|               |                     |                      |
|               |                     |                      |
|               |                     |                      |

#### **Contents**

| 1 Tables   | 1-3   |
|--|-------|
| 2 Figures  | 2-3   |
| 3 Purpose of document  | 3-4   |
| 4 Aims and objective   | 4-4   |
| 5 Background   |       |
| 6 Methodology  |       |
| 7 Results  |       |
| 7.1 QFracture vs. FRAX using the QResearch database                      |       |
| 7.2 Independent external validation of QFracture on THIN                 |       |
| 7.3 Calibration of QFracture and FRAX(2008) in patients aged 40-85 years |       |
| 7.4 ROC curve for QFracture on QResearch                                 |       |
| 7.5 Sensitivity and specificity of QFracture ages 30-85 years            | 7-13  |
| 7.6 Sensitivity and specificity of QFracture ages 40-85 years            |       |
| 7.7 Sensitivity and specificity of FRAX (2008) ages 40-85 years          | 7-15  |
| 7.8 Sensitivity and specificity using deciles of predicted risks         |       |
| 8 Re-classification statistics   | 8-17  |
| 9 Summary of main findings   | 9-18  |
| 9.1 Scientific and Ethical Approval                                      |       |
| 9.2 Funding and acknowledgements   |       |
| 9.3 Competing Interests  |       |
| 10 References  | 10-19 |
| 11 Appendix 1- variables included in QFracture compared with FRAX        | 11-20 |
| 12 Annendix 2- request from NICE   | 12-21 |

# 1 Tables

| Table 1 Validation statistics for osteoporotic fracture using Q-racture based on the          |
|---|
| QResearch validation cohort in patients aged 30-857-6   |
| Table 2 Validation statistics for hip fracture using QFracture based on the QResearch         |
| validation cohort in patients aged 40-85 years7-6   |
| Table 3 Validation statistics for hip fracture and osteoporotic fracture using QFracture      |
| based on the THIN validation cohort in patients aged 30-857-7                                 |
| Table 4: Predicted and observed risks for hip fracture at 10 years in patients aged 40-85     |
| years by tenth of predicted risk using the QFracture and FRAX (2008) scores7-8                |
| Table 5 sensitivity, specificity, positive predictive value and negative predictive value of  |
| QFracture for hip fracture and major fracture at selected thresholds of 10 year risk.         |
| Analysis of all patients 30-85 years 7-13   |
| Table 6 sensitivity, specificity, positive predictive value and negative predictive value of  |
| QFracture for hip fracture and major fracture at selected thresholds of 10 year risk.         |
| Analysis includes all patients 40-85 years7-14  |
| Table 7 sensitivity, specificity, positive predictive value and negative predictive value of  |
| FRAX (2008) for hip fracture at selected thresholds of 10 year risk. Analysis includes all    |
| patients 40-85 years7-15  |
| Table 8 Direct comparison between QFracture and FRAX (2008) for patients in the top 10%       |
| and 20% of predicted risk of hip fracture using each algorithm for men and women $7	ext{-}16$ |
| Table 9: reallocation of patients based on using top decile of risk for each score. Figures   |
| are counts(%) and 10 year observed risks calculated using Kaplan Meier plots $8-17$           |
| 2 Figures   |
| Figure 1 ROC curve for QFracture for osteoporotic fracture in women aged 30-85 7-9            |
| Figure 2 ROC curve for QFracture for hip fracture in women aged 30-857-10                     |
| Figure 3 ROC curve for QFracture for osteoporotic fracture in men aged 30-85 $7	ext{-}10$     |
| Figure 4 ROC curve for QFracture for hip fracture in men aged 30-857-11                       |
| Figure 5 ROC curve for FRAX for hip fracture in women aged 40-857-11                          |
| Figure 6 ROC curve for FRAX for hip fracture in men aged 40-857-12                            |

# 3 Purpose of document

This document presents additional information on the validation of QFracture compared with FRAX based on data presented in the original BMJ paper from 2009. It has been prepared by Professor Julia Hippisley-Cox and Dr Carol Coupland for NICE following an email from Sylvia Rabar, Senior Project Manager and Research Fellow in relation to a clinical guideline, in the UK, commissioned by the National Institute for Health and Clinical Excellence (NICE), on risk assessment for fragility fracture. See the appendix for a copy of the email.

The NICE guidance information can be found here.

http://guidance.nice.org.uk/CG/Wave25/2

# 4 Aims and objective

The overall aim is to examine sensitivity and specificity of FRAX and QFracture at different thresholds for osteoporotic fracture and hip fractures.

The objectives are

- to compare the ROC curve data for QFracture and FRAX when applied to the QResearch database
- To compare the sensitivity, specificity (together with True positive, true negative, false positive and false negative values) for the following thresholds:
  - Major osteoporotic fractures: 10%, 20% and 30%
  - Hip fractures: 3% and 5%

# 5 Background

In 2009, Hippisley-Cox and Coupland published a paper describing the development and validation of QFracture<sup>1</sup> – a set of risk prediction algorithms to predict 10 year risk of hip fracture and osteoporotic fracture (hip, vertebral, or distal radius fracture) in primary care. The algorithms were developed using data from a sample of two thirds of practices in the QResearch database and validated using the remaining third so that the validation sample is physically separate from the derivation sample. QResearch is a database derived from general practices using the EMIS clinical system (EMIS is the clinical system used by more than 55% of GP practices nationally). The resulting publically available web calculator and open source software can be found at <a href="www.qfracture.org">www.qfracture.org</a>. As part of the original study, we calculated FRAX scores for hip fracture using an automated call to the FRAX website in Nov

2008 so that a comparison could be made between QFracture and FRAX for hip fracture. The resulting FRAX scores were then used for the analyses reported in the BMJ paper.

In 2010, however, the authors tried to obtain FRAX scores using the same automated procedure for a second time and found that there were significant discrepancies between the FRAX scores generated by the FRAX website in 2008 (and used for the BMJ paper) and those generated by the FRAX website in 2010 for the same input data. It was not possible to determine if this was an intended change to FRAX, a bug in the underlying algorithm or a bug in the FRAX software implementing the algorithm or a combination of all three. Since the FRAX algorithm(s) is unpublished we contacted the FRAX developers. Disappointingly they have not been able to respond and have since disabled the web facility which allowed calculation of FRAX scores for large datasets. It is therefore safest to assume that the validation of FRAX reported in the 2009 BMJ paper is a historical validation of a previous version of the FRAX algorithm rather than a validation of the current FRAX algorithm<sup>2</sup>.

In 2011, Collins, Mallet and Altman published an independent external validation of QFracture in the BMJ<sup>3</sup>. This validation study tested the performance of QFracture on a separate cohort of patients contributing to the THIN database. The THIN database is a primary care database derived from general practices using the Vision clinical system. The Vision clinical system is the second most commonly used GP computer system since it is used by 20% of GP practices nationally. The authors had intended to compare QFracture directly to FRAX but report they were unable to do this since the FRAX algorithm is unpublished and not available from the authors.

In this report, we summarise existing published information on the validation of QFracture and FRAX and report additional analyses using QFracture and FRAX based on the QResearch database. The FRAX scores used throughout this report are based on 2008 scores, obtained using the version that does not incorporate bone mineral density. A summary of the differences in the variables included in FRAX and QFracture can be found in the appendix.

# **6 Methodology**

The methods have been reported in detail in the original paper<sup>1</sup> but are summarised here for ease of reference. Both in the original paper and the independent external validation by Collins and Altman<sup>3</sup>, the primary measures of statistical performance are R<sup>2</sup> (an estimate of variation in time to outcome explained by the risk score)<sup>4</sup> and the D statistic (a measure of discrimination where higher values indicate better discrimination)<sup>5</sup> as these take account of the survival nature of the data. ROC values were calculated as a rough guide and for comparison with other studies but the ROC statistic is not really appropriate for survival data since it assumes all patients have at least 10 years of follow up data (which is not always the case).

# 7 Results

#### 7.1 QFracture vs. FRAX using the QResearch database

The first table shows the results from the validation of QFracture in patients aged 30-85 years using the validation cohort from the QResearch database. These are reproduced from the 2009 BMJ paper<sup>1</sup>.

**Table 1** Validation statistics for osteoporotic fracture using QFracture based on the QResearch validation cohort in patients aged 30-85.

|                      | QFracture              | QFracture              |
|----------------------|------------------------|------------------------|
|                      | Osteoporotic fracture  | Hip fracture           |
|                      | 30-85 years            | <b>30 to 85 years</b>  |
| Women                |                        |                        |
| R <sup>2</sup> (%)   | 44.87 (43.07 to 46.67) | 63.94 (62.12 to 65.76) |
| D Statistic          | 1.85 (1.78 to 1.91)    | 2.73 (2.62 to 2.83)    |
| ROC statistic        | 0.788 (0.786 to 0.790) | 0.890 (0.889 to 0.892) |
|                      |                        |                        |
| Men                  |                        |                        |
| $R^2$ (%)            | 30.03 (22.21 to 37.84) | 63.19 (60.81 to 65.57) |
| D statistic          | 1.34 (1.09 to 1.59)    | 2.68 (2.55 to 2.82)    |
| <b>ROC</b> statistic | 0.692 (0.683 to 0.701) | 0.856 (0.851 to 0.860) |

The next table shows the performance of QFracture for predicting hip fracture when applied to patients aged 40-85 so that it can be directly compared with FRAX (which can only be applied to patients aged 40-85 years). Overall, QFracture performed better than FRAX. For example, QFracture explained 57.3% of the variation in time to fracture for women aged 40-85 years compared with 54.8% for FRAX.

**Table 2** Validation statistics for hip fracture using QFracture based on the QResearch validation cohort in patients aged 40-85 years

|                    | QFracture              | FRAX                   |
|--------------------|------------------------|------------------------|
|                    | Hip fracture           | Hip fracture           |
|                    | 40-85 years            | 40-85 years            |
| Women              |                        |                        |
| R <sup>2</sup> (%) | 57.29 (57.18 to 58.09) | 54.83 (54.43 to 55.12) |
| D Statistic        | 2.37 (2.32 to 2.42)    | 2.26 (2.21 to 2.30)    |
| ROC statistic      | 0.846 (0.841 to 0.850) | 0.845 (0.840 to 0.849) |
| Men                |                        |                        |
| R <sup>2</sup> (%) | 57.67 (56.78 to 58.57) | 54.08 (52.10 to 53.65) |
| D statistic        | 2.39 (2.30 to 2.48)    | 2.22 (2.14 to 2.30)    |
| ROC statistic      | 0.820 (0.809 to 0.831) | 0.817 (0.806 to 0.828) |

# 7.2 Independent external validation of QFracture on THIN

The next table summarises the performance statistics of QFracture for hip and osteoporotic fracture on THIN as reported by Collins et al in the BMJ<sup>3</sup>. This shows that the performance of QFracture on the external THIN dataset was comparable to that on the QResearch database. Indeed, the performance for the osteoporotic fracture outcome was better on THIN than QResearch. The authors comment that no comparison with FRAX was possible as the algorithm was unavailable<sup>3</sup>.

**Table 3** Validation statistics for hip fracture and osteoporotic fracture using QFracture based on the THIN validation cohort in patients aged 30-85.

|               | QFracture on THIN<br>database | QFracture on THIN<br>database |
|---------------|-------------------------------|-------------------------------|
|               | Osteoporotic fracture         | Hip fracture                  |
| Women         |                               |                               |
| $R^2$         | 49.24 (48.64 to 49.85)        | 62.82 (62.22 to 63.43)        |
| D Statistic   | 2.02 (1.99 to 2.04)           | 2.66 (2.63 to 270)            |
| ROC statistic | 0.816                         | 0.890                         |
| Men           |                               |                               |
| $R^2$         | 37.99 (36.64 to 39.35)        | 60.42 (59.22 to 61.63)        |
| D statistic   | 1.60 (1.56 to 1.65)           | 2.53 (2.46 to 2.59)           |
| ROC statistic | 0.739                         | 0.855                         |

# 7.3 Calibration of QFracture and FRAX(2008) in patients aged 40-85 years.

As reported in the BMJ paper, for QFracture, there was close correspondence between predicted and observed 10 year risks within each model tenth. For example, in the top tenth of risk for women, the mean predicted 10 year risk of hip fracture for QFracture was 9.87% and the observed risk was 9.40%. The ratio of predicted to observed risk in this tenth was 1.05 indicating almost perfect calibration (a ratio of 1 indicates perfect calibration i.e. no under-prediction or over-prediction).

For FRAX (2008), however, there was over prediction of risk for men and women in every tenth as shown in the table.

**Table 4**: Predicted and observed risks for hip fracture at 10 years in patients aged 40-85 years by tenth of predicted risk using the QFracture and FRAX (2008) scores.

| Women              |                               | Hip Fracture         | e                               |                                   | Hip Fracture<br>FRAX <sup>®</sup> |                                 |  |  |
|--------------------|-------------------------------|----------------------|---------------------------------|-----------------------------------|-----------------------------------|---------------------------------|--|--|
| tenth <sup>§</sup> | Mean<br>predicted<br>risk (%) | observed<br>risk (%) | ratio<br>predicted/<br>observed | Mean<br>predicted<br>risk (%)     | observed<br>risk (%)              | ratio<br>predicted/<br>observed |  |  |
| 1                  | 0.05                          | 0.02                 | 2.47                            | 0.16                              | 0.08                              | 2.03                            |  |  |
| 2                  | 0.08                          | 0.10                 | 0.81                            | 0.16                              | 0.08                              | 2.03                            |  |  |
| 3                  | 0.12                          | 0.14                 | 0.86                            | 0.30                              | 0.17                              | 1.76                            |  |  |
| 4                  | 0.18                          | 0.14                 | 1.30                            | 0.40                              | 0.25                              | 1.60                            |  |  |
| 5                  | 0.29                          | 0.32                 | 0.90                            | 0.54                              | 0.33                              | 1.65                            |  |  |
| 6                  | 0.51                          | 0.47                 | 1.08                            | 0.83                              | 0.61                              | 1.36                            |  |  |
| 7                  | 0.97                          | 1.03                 | 0.95                            | 1.37                              | 1.06                              | 1.29                            |  |  |
| 8                  | 2.01                          | 1.98                 | 1.01                            | 2.46                              | 1.99                              | 1.24                            |  |  |
| 9                  | 4.14                          | 4.30                 | 0.96                            | 4.74                              | 4.34                              | 1.09                            |  |  |
| 10                 | 9.87                          | 9.40                 | 1.05                            | 10.07                             | 9.33                              | 1.08                            |  |  |
| men                |                               | Hip Fracture         | e                               | Hip fracture<br>FRAX <sup>®</sup> |                                   |                                 |  |  |
| tenth <sup>§</sup> | Mean                          | observed             | ratio                           | Mean                              | observed                          | ratio                           |  |  |
|                    | predicted<br>risk (%)         | risk (%)             | predicted/<br>observed          | predicted<br>risk (%)             | risk (%)                          | predicted/<br>observed          |  |  |
| 1                  | 0.04                          | 0.04                 | 1.04                            | 0.10                              | 0.06                              | 1.66                            |  |  |
| 2                  | 0.06                          | 0.06                 | 1.02                            | 0.10                              | 0.06                              | 1.66                            |  |  |
| 3                  | 0.08                          | 0.08                 | 1.01                            | 0.20                              | 0.11                              | 1.82                            |  |  |
| 4                  | 0.11                          | 0.07                 | 1.53                            | 0.20                              | 0.11                              | 1.82                            |  |  |
| 5                  | 0.14                          | 0.15                 | 0.96                            | 0.30                              | 0.17                              | 1.76                            |  |  |
| 6                  | 0.21                          | 0.19                 | 1.09                            | 0.40                              | 0.24                              | 1.67                            |  |  |
| 7                  | 0.32                          | 0.34                 | 0.94                            | 0.59                              | 0.34                              | 1.72                            |  |  |
| 8                  | 0.56                          | 0.46                 | 1.21                            | 0.98                              | 0.52                              | 1.88                            |  |  |
| _                  | l                             | 4 20                 | 0.84                            | 1.76                              | 1.36                              | 1.30                            |  |  |
| 9                  | 1.16                          | 1.38                 | 0.64                            | 1.70                              | 1.50                              | 1.50                            |  |  |

<sup>§</sup> represents tenth of predicted risk

#### 7.4 ROC curve for QFracture on QResearch

The receiver operator curves (ROC) for QFracture for both outcomes based on the original QResearch validation cohort are shown below. Separate curves are shown for women and men aged 30-85 years. ROC curves are also shown for FRAX for hip fracture in women and men aged 40-85.

Tables giving the sensitivity, specificity positive and negative predictive values at predefined thresholds can be found in the following section.

The ROC curves show higher areas under the curve for hip fracture than osteoporotic fracture, and higher values for women than men.

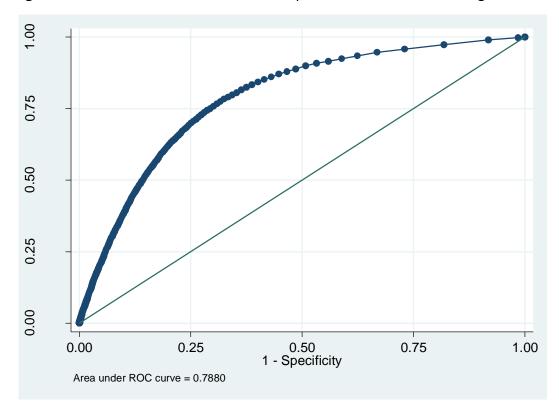


Figure 1 ROC curve for QFracture for osteoporotic fracture in women aged 30-85

Figure 2 ROC curve for QFracture for hip fracture in women aged 30-85

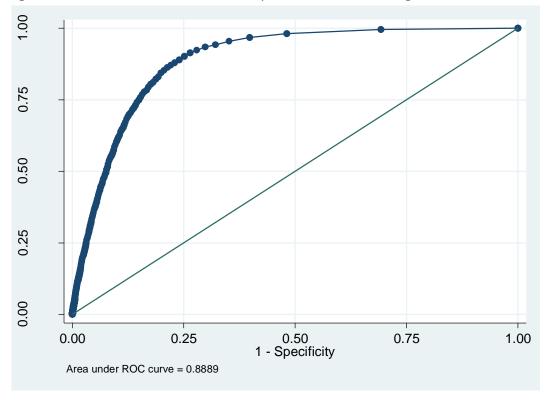


Figure 3 ROC curve for QFracture for osteoporotic fracture in men aged 30-85

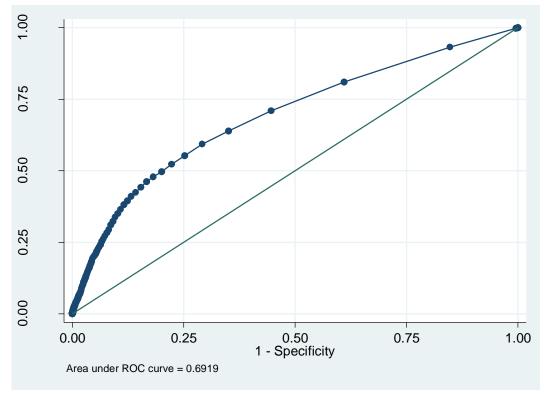


Figure 4 ROC curve for QFracture for hip fracture in men aged 30-85

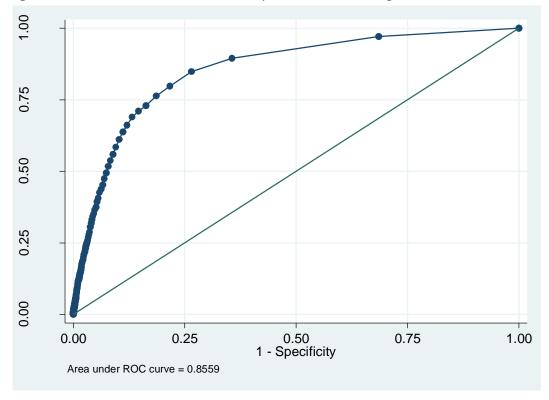


Figure 5 ROC curve for FRAX for hip fracture in women aged 40-85

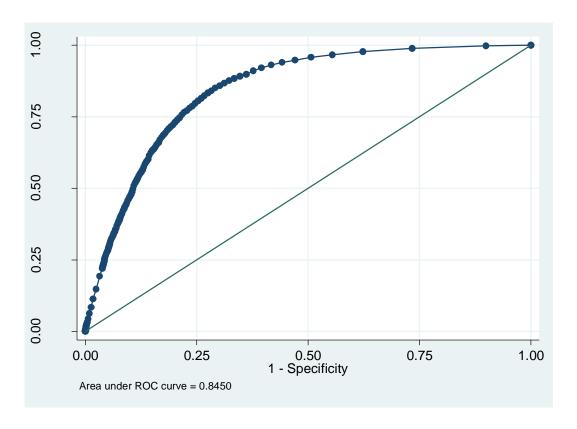
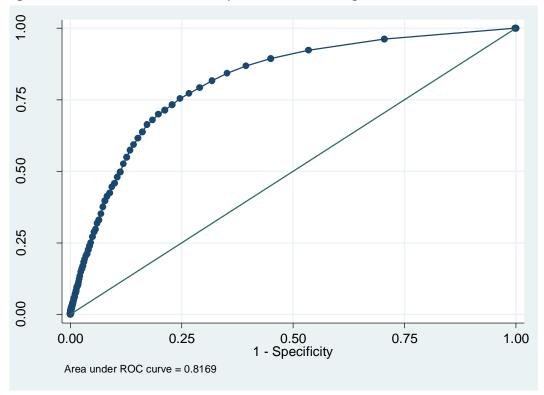


Figure 6 ROC curve for FRAX for hip fracture in men aged 40-85



#### 7.5 Sensitivity and specificity of QFracture ages 30-85 years

The next table show the sensitivity, specificity, positive and negative predictive values at pre-selected thresholds of 10 year risk for QFracture for hip fracture and major fractures (comprising hip, vertebral, and distal radius fractures) It includes all patients (even if censored before 10 years).

For example, if a cut off of a 10 year risk of 3% for hip fracture in women is used, then it will identify 13.1% of women aged 30-85 as high risk. This will then contain 64.1% of all cases of hip fracture in the next 10 years will be identified (i.e. sensitivity of 64.1%). The positive predictive value is 4% meaning that for every 100 women selected in this high risk group, then 4 are likely to have a hip fracture over the next 10 years. If the threshold is increased to 5%, then the sensitivity will fall to 46.8% but the positive predictive value will increase to 4.6% and the specificity will increase to 92%.

**Table 5** sensitivity, specificity, positive predictive value and negative predictive value of QFracture for hip fracture and major fracture at selected thresholds of 10 year risk. Analysis of all patients 30-85 years.

|                | cut off*<br>(%) | Total<br>%<br>identif<br>ied as<br>high<br>risk | true<br>negative | false<br>negative | false<br>positive | True<br>positiv<br>e | sensit<br>ivity | specif<br>icity | PPV  | NPV  |
|----------------|-----------------|---|------------------|-------------------|-------------------|----------------------|-----------------|-----------------|------|------|
| Women          |                 |   |                  |                   |                   |                      |                 |                 |      |      |
| hip fracture   | 3%              | 13.3  | 562,941          | 1,948             | 83,463            | 3,476                | 64.1            | 87.1            | 4.0  | 99.7 |
| hip fracture   | 5%              | 8.4   | 593,975          | 2,887             | 52,429            | 2,537                | 46.8            | 91.9            | 4.6  | 99.5 |
| major fracture | 10%             | 7.7   | 582,257          | 10191             | 45,567            | 3,761                | 27.0            | 92.7            | 7.6  | 98.3 |
| major fracture | 20%             | 0.5   | 624,773          | 13640             | 3,051             | 312                  | 2.2             | 99.5            | 9.3  | 97.9 |
| major fracture | 30%             | 0.1   | 627,500          | 13916             | 324               | 36                   | 0.3             | 99.9            | 10.0 | 97.8 |
| Men            |                 |   |                  |                   |                   |                      |                 |                 |      |      |
| hip fracture   | 3%              | 3.6   | 615,500          | 1325              | 22787             | 413                  | 23.8            | 96.4            | 1.8  | 99.8 |
| hip fracture   | 5%              | 1.6   | 628,457          | 1529              | 9830              | 209                  | 12.0            | 98.5            | 2.1  | 99.8 |
| major fracture | 10%             | 0.2   | 627,723          | 4464              | 1445              | 55                   | 1.2             | 99.8            | 3.7  | 99.3 |
| major fracture | 20%             | 0.0   | 629,102          | 4515              | 66                | 4                    | 0.1             | 100.0           | 5.7  | 99.3 |
| major fracture | 30%             | 0.0   | 629,163          | 4518              | 5                 | 1                    | 0.0             | 100.0           | 16.7 | 99.3 |
| All            |                 |   |                  |                   |                   |                      |                 |                 |      |      |
| hip fracture   | 3%              | 8.5   | 1,178,441        | 3,273             | 106,250           | 3,889                | 54.3            | 91.7            | 3.5  | 99.7 |
| hip fracture   | 5%              | 5.0   | 1,222,432        | 4,416             | 62,259            | 2,746                | 38.3            | 95.2            | 4.2  | 99.6 |
| major fracture | 10%             | 4.0   | 1,209,980        | 14,655            | 47,012            | 3,816                | 20.7            | 96.3            | 7.5  | 98.8 |
| major fracture | 20%             | 0.3   | 1,253,875        | 18,155            | 3,117             | 316                  | 1.7             | 99.8            | 9.2  | 98.6 |
| major fracture | 30%             | 0.03  | 1,256,663        | 18,434            | 329               | 37                   | 0.2             | 100.0           | 10.1 | 98.6 |

<sup>\*10</sup> year risk of outcome calculated using QFracture(%)

Note that caution must be taken when interpreting the statistics in these tables, since they do not differentiate patients who were censored before 10 years (eg because of death or the end of the study) and so we do not know the eventual outcome for these patients. The effect of this is to under-estimate the positive predictive values.

# 7.6 Sensitivity and specificity of QFracture ages 40-85 years

The next QFracture table is similar to the previous table in what it reports except it applies to patients aged 40-85 years.

**Table 6** sensitivity, specificity, positive predictive value and negative predictive value of QFracture for hip fracture and major fracture at selected thresholds of 10 year risk. Analysis includes all patients 40-85 years.

|                | cut off<br>*(%) | % high<br>risk | true<br>negative | false<br>negative | false<br>positive | True<br>positiv | sensiti<br>vity | specifi<br>city | PPV  | NPV  |
|----------------|-----------------|----------------|------------------|-------------------|-------------------|-----------------|-----------------|-----------------|------|------|
|                |                 |                |                  |                   |                   | е               |                 |                 |      |      |
| Women          |                 |                |                  |                   |                   |                 |                 |                 |      |      |
| hip fracture   | 3               | 19.1           | 365,682          | 1,918             | 83,463            | 3,476           | 64.4            | 81.4            | 4.0  | 99.5 |
| hip fracture   | 5               | 12.1           | 396,716          | 2,857             | 52,429            | 2,537           | 47.0            | 88.3            | 4.6  | 99.3 |
| major fracture | 10              | 11.1           | 386906           | 9513              | 45567             | 3761            | 28.3            | 89.5            | 7.6  | 97.6 |
| major fracture | 20              | 0.8            | 429422           | 12962             | 3051              | 312             | 2.4             | 99.3            | 9.3  | 97.1 |
| major fracture | 30              | 0.1            | 432149           | 13238             | 324               | 36              | 0.3             | 99.9            | 10.0 | 97.0 |
| Men            |                 |                |                  |                   |                   |                 |                 |                 |      |      |
| hip fracture   | 3               | 5.5            | 399875           | 1261              | 22787             | 413             | 24.7            | 94.6            | 1.8  | 99.7 |
| hip fracture   | 5               | 2.4            | 412832           | 1465              | 9830              | 209             | 12.5            | 97.7            | 2.1  | 99.6 |
| major fracture | 10              | 0.4            | 415053           | 3766              | 1445              | 55              | 1.4             | 99.7            | 3.7  | 99.1 |
| major fracture | 20              | 0.0            | 416432           | 3817              | 66                | 4               | 0.1             | 100.0           | 5.7  | 99.1 |
| major fracture | 30              | 0.0            | 416493           | 3820              | 5                 | 1               | 0.0             | 100.0           | 16.7 | 99.1 |
| All            |                 |                |                  |                   |                   |                 |                 |                 |      |      |
| hip fracture   | 3               | 12.5           | 765,557          | 3,179             | 106,250           | 3,889           | 55.0            | 87.8            | 3.5  | 99.6 |
| hip fracture   | 5               | 7.4            | 809,548          | 4,322             | 62,259            | 2,746           | 38.9            | 92.9            | 4.2  | 99.5 |
| major fracture | 10              | 5.9            | 801,959          | 13,279            | 47,012            | 3,816           | 22.3            | 94.5            | 7.5  | 98.4 |
| major fracture | 20              | 0.4            | 845,854          | 16,779            | 3,117             | 316             | 1.8             | 99.6            | 9.2  | 98.1 |
| major fracture | 30              | 0.04           | 848,642          | 17,058            | 329               | 37              | 0.2             | 100.0           | 10.1 | 98.0 |

<sup>\*10</sup> year risk of outcome calculated using QFracture(%)

# 7.7 Sensitivity and specificity of FRAX (2008) ages 40-85 years

The next table is similar to the previous table but is based on FRAX (2008) instead of QFracture and only includes hip fracture (the FRAX risk score for fracture was not included in the original BMJ paper as the outcome definition was different).

Using a cut off for women of 3% for the FRAX score, would identify 21.6% of women aged 40-85 at risk compared with 19.1% for QFracture. Using the 5% threshold would identify 13.9% at risk using FRAX but 12.1% using QFracture. In other words if pre-defined thresholds are used then this will identify large number of patients with FRAX compared with QFracture

**Table 7** sensitivity, specificity, positive predictive value and negative predictive value of FRAX (2008) for hip fracture at selected thresholds of 10 year risk. Analysis includes all patients 40-85 years.

|                     | cut<br>off<br>*(%) | % high<br>risk | true<br>negativ<br>e | false<br>negat<br>ive | false<br>positiv<br>e | True<br>positiv<br>e | sensiti<br>vity | specifi<br>city | PPV | NPV  |
|---------------------|--------------------|----------------|----------------------|-----------------------|-----------------------|----------------------|-----------------|-----------------|-----|------|
| Women               | (/-/               |                |                      |                       |                       |                      |                 |                 |     |      |
| hip fracture        | 3                  | 21.6           | 354,655              | 1,652                 | 94,451                | 3,741                | 69.4            | 79.0            | 3.8 | 99.5 |
| hip fracture        | 5                  | 13.9           | 388,921              | 2,570                 | 60,185                | 2,823                | 52.3            | 86.6            | 4.5 | 99.3 |
| Men                 |                    |                |                      |                       |                       |                      |                 |                 |     |      |
| hip fracture        | 3                  | 6.9            | 393717               | 1166                  | 28945                 | 508                  | 30.3            | 93.2            | 1.7 | 99.7 |
| hip fracture<br>All | 5                  | 1.6            | 416108               | 1540                  | 6554                  | 134                  | 8.0             | 98.4            | 2.0 | 99.6 |
| hip fracture        | 3                  | 14.5           | 748,372              | 2,818                 | 123,39<br>6           | 4,249                | 60.1            | 85.8            | 3.3 | 99.6 |
| hip fracture        | 5                  | 7.9            | 805,029              | 4,110                 | 66,739                | 2,957                | 41.8            | 92.3            | 4.2 | 99.5 |

<sup>\*10</sup> year risk of outcome calculated using FRAX(%)

# 7.8 Sensitivity and specificity using deciles of predicted risks

Given that FRAX (2008) over predicts risk of hip fracture compared with observed risks (as shown by the ratio of predicted to observed risks in the previous section), the use of predefined thresholds does not give a direct comparison between the two scores. To make a direct comparison, we have therefore repeated the analysis based on the top 10% and top 20% of predicted risk for each score, to give equivalent numbers of people in high risk groups.

The next table shows the results of the direct comparison between QFracture and FRAX and shows that the sensitivity of QFracture is similar or slightly higher than that for FRAX for men and women when comparison high risk groups of the same size. For example, for men the cut off for the top decile was a 10 year risk of 1.8% using QFracture and 2.4% for FRAX. The sensitivity at this threshold for men using QFracture was 41.1% compared with 39.0% for FRAX.

Table 8 Direct comparison between QFracture and FRAX (2008) for patients in the top 10% and 20% of predicted risk of hip fracture using each algorithm for men and women.

| QFracture | cut<br>off<br>*(%) | true<br>negative | false<br>negative | false<br>positive | True<br>positive | sensitivity | specificity |
|-----------|--------------------|------------------|-------------------|-------------------|------------------|-------------|-------------|
|           |                    | TN               | FN                | FP                | TP               |             | _           |
| Women     |                    |                  |                   |                   |                  |             |             |
| top 20%   | 2.8%               | 361,775          | 1,826             | 87,331            | 3,567            | 66.1        | 80.6        |
| top 10%   | 5.8%               | 405,823          | 3,228             | 43,283            | 2,165            | 40.1        | 90.4        |
| Men       |                    |                  |                   |                   |                  |             |             |
| top 20%   | 0.8%               | 338,915          | 555               | 83747             | 1119             | 66.8        | 80.2        |
| top 10%   | 1.8%               | 380,918          | 986               | 41744             | 688              | 41.1        | 90.1        |

| FRAX    | cut<br>off<br>*(%) | true<br>negative | false<br>negative | false<br>positive | True<br>positive | sensitivity | specificity |
|---------|--------------------|------------------|-------------------|-------------------|------------------|-------------|-------------|
|         |                    | TN               | FN                | FP                | TP               |             |             |
| Women   |                    |                  |                   |                   |                  |             |             |
| top 20% | 3.3%               | 363,222          | 1,841             | 85,884            | 3,552            | 65.9        | 80.9        |
| top 10% | 6.4%               | 406,496          | 3,233             | 42,610            | 2,160            | 40.1        | 90.5        |
| Men     |                    |                  |                   |                   |                  |             |             |
| top 20% | 1.2%               | 339,346          | 567               | 83316             | 1107             | 66.1        | 80.3        |
| top 10% | 2.4%               | 383,263          | 1021              | 39399             | 653              | 39.0        | 90.7        |

#### 8 Re-classification statistics

For the next analysis, we define high risk a 10 year risk of hip fracture in the top tenth for each risk score. We then looked at how many patients would be re-classified using QFracture compared with FRAX. The results are shown in the table below.

For example, for women, then using the top decile for each score, then 88.9% are classified classified as low risk by both scores and 8.8% are classified as high risk by both scores. 1.2% of women would be classified as high risk on QFracture and low risk on FRAX and the observed 10 year risk in these women was 7.69%. Conversely, 1.1% of women would be classified as low risk on QFracture but high risk on FRAX. The observed 10 year risk in these women was 7.15%. In other words, women who would be missed' as high risk if FRAX were used, had a higher observed risk than women who would be 'missed' as high risk if QFracture were used. There were similar findings in men

Table 9: reallocation of patients based on using top decile of risk for each score. Figures are counts(%) and 10 year observed risks calculated using Kaplan Meier plots

|                                 | numbers | % of  | 10 yr observed risk |
|---------------------------------|---------|-------|---------------------|
|                                 |         | total |                     |
| women                           |         |       |                     |
| low on both QFracture and FRAX  | 404105  | 88.9  | 0.88                |
| low on FRAX high on QFracture   | 5624    | 1.2   | 7.69                |
| high on FRAX low on QFracture   | 4946    | 1.1   | 7.15                |
| high on both QFracture and FRAX | 39824   | 8.8   | 9.66                |
| total                           | 454499  | 100.0 |                     |
| Men                             |         |       |                     |
| low on both QFracture and FRAX  | 377954  | 89.1  | 0.09                |
| low on FRAX high on QFracture   | 6330    | 1.5   | 2.24                |
| high on FRAX low on QFracture   | 3950    | 0.9   | 1.45                |
| high on both QFracture and FRAX | 36102   | 8.5   | 3.63                |
| total                           | 424336  | 100.0 |                     |

# 9 Summary of main findings

We have presented a direct comparison between QFracture and FRAX based on the same population with the following conclusions:

- QFracture performs better than FRAX (2008) with better discrimination.
- QFracture is well calibrated whereas FRAX (2008) over-predicts risk in every tenth of risk.
- QFracture has similar sensitivity compared with FRAX(2008) for men and women when the top decile of risk is identified.
- QFracture also performed well on an independent external dataset using data from the THIN database. Some of the performance statistics were better on the THIN dataset than the separate sample of practices used for the validation from QResearch.
- The current version of FRAX (2011) does not match the version of FRAX from 2008, so the comparisons made in this paper are with a historical version of FRAX and it is not possible to determine comparisons with a current version of FRAX.

### 9.1 Scientific and Ethical Approval

The project was approved by the QResearch Scientific board and is therefore approved by the Trent Multi Centre Research Ethics Committee.

# 9.2 Funding and acknowledgements

This original study was funded by Dr David Stables (medical director of EMIS). We acknowledge the contribution of EMIS and EMIS practices contributing to the QResearch database.

# 9.3 Competing Interests

JHC is co-director of QResearch – a not-for-profit organisation which is a joint partnership between the University of Nottingham and EMIS (leading supplier of IT for 60% of general practices in the UK). EMIS may implement the QFracture within its clinical system. JHC is also director of ClinRisk Ltd and CC is a consultant statistician for ClinRisk Ltd. ClinRisk Ltd produces software to ensure the reliable and updatable implementation of clinical risk algorithms within clinical computer systems to help improve patient care. JHC is also GP and professor of clinical epidemiology at the University of Nottingham.

# 10 References

- 1. Hippisley-Cox J, Coupland C. Predicting risk of osteoporotic fracture in men and women in England and Wales: prospective derivation and validation of QFractureScores. BMJ 2009;339:b4229-.
- 2. Hippisley-Cox J, Coupland C. QFracture authors reponse. BMJ: BMJ, 2011.
- 3. Collins GS, Mallett S, Altman DG. Predicting risk of osteoporotic and hip fracture in the United Kingdom: prospective independent and external validation of QFractureScores. BMJ 2011;342:d3651.

# 11 Appendix 1- variables included in QFracture compared with FRAX

|                                | Included in QFracture      | Included in FRAX             |
|--------------------------------|----------------------------|------------------------------|
| Age range                      | 30-85                      | 40-90                        |
| Sex                            | Yes, separate models men   | Yes as variable in one model |
|                                | and women                  |                              |
| Smoking status                 | 5 levels - non, ex smoker, | Yes as binary variable       |
|                                | light, moderate, heavy     |                              |
|                                | smoker                     |                              |
| Alcohol                        | Yes 5 categories           | Yes as binary variable       |
| Body mass index                | Yes                        | Yes                          |
| Family history of osteoporosis | yes                        | Yes                          |
| Rheumatoid arthritis           | Yes                        | Yes                          |
| Type 2 diabetes                | Yes                        | Type 1 included within       |
|                                |                            | secondary osteoporosis       |
| Regular steroids               | Yes                        | Yes                          |
| Chronic liver disease          | Yes                        | Included within secondary    |
|                                |                            | osteoporosis                 |
| Malabsorption (crohn's,        | Yes                        | Included within secondary    |
| ulcerative colitis, coeliac    |                            | osteoporosis                 |
| disease, blind loop)           |                            |                              |
| Other endocrine disorders      | Yes                        | Hyperthyroidism included     |
| (thyrotoxicosm, cushing's      |                            | within secondary             |
| hyperparathyroidism,)          |                            | osteoporosis                 |
| HRT                            | Yes (women only)           | no                           |
| Cardiovascular disease         | Yes                        | no                           |
| History of falls               | Yes                        | No                           |
| Menopausal symptoms (flushes   | yes                        | No                           |
| or vaginal dryness)            |                            |                              |
| Asthma                         | Yes                        | no                           |
| Tricyclic antidepressants      | Yes                        | No                           |
| Previous fracture              | No                         | yes                          |

# 12 Appendix 2- request from NICE

From: Silvia Rabar [mailto:Silvia.Rabar@rcplondon.ac.uk]

Sent: 02 November 2011 10:01

To: julia.hippisley-cox@nottingham.ac.uk

Subject: Information request

#### Dear Dr Hippisley-Cox,

I am writing to ask you for more information related to your publication in BMJ 2009; 339:b4229, entitled "Predicting risk of osteoporotic fracture in men and women in England and Wales: prospective derivation and validation of QFracture scores".

We are currently developing a clinical guideline, in the UK, commissioned by the National Institute for Health and Clinical Excellence (NICE), on risk assessment for fragility fracture

#### http://guidance.nice.org.uk/CG/Wave25/2

I understand from your paper you have performed a subgroup analysis to compare FRAX (I believe you used the version FRAX without BMD, as opposed to FRAX with BMD) to QFracture, and you have reported the ROC value for the FRAX algorithm. One of our aim is to compare sensitivity and specificity of FRAX (both with and without BMD, where available) and QFracture at different thresholds for osteoporotic fractures and hip fractures. Therefore, it would be very helpful for our purposes if you could send me the ROC curve data, point by point, for both FRAX (without BMD?) and QFracture, applied to the same population. If this is not possible, would you be able to send me at least sensitivity, specificity (together with True positive, true negative, false positive and false negative values) for the following thresholds:

- Major osteoporotic fractures: 10%, 20% and 30%
- Hip fractures: 3% and 5%

Should you require any further information, please do not hesitate to contact me. I look forward to hearing from you soon.

#### Regards,

#### Silvia

Dr Silvia Rabar

Senior Project Manager and Research Fellow

National Clinical Guideline Centre (NCGC)

**180 Great Portland Street** 

London W1W 5QZ

Direct line: 020 3075 1414 Mobile: 07990 745 663

Email: silvia.rabar@rcplondon.ac.uk

Website: www.ncgc.ac.uk

| Address for correspondence:                      |  |  |  |
|--|--|--|--|
| Royal College of Physicians 11 St Andrew's Place |  |  |  |
| London NW1 4LE                                   |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |